

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination)

YYYY	MM	DD
2 0 2 2	0 7	1 3

 to

YYYY	MM	DD
2 0 2 3	0 1	0 3

- Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing reflecting finances from start of campaign to end of extended campaign period

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name Ritz	Given Name(s) Robert John
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Office for Which the Candidate Sought Election Mayor	Ward Name or Number (if any)
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Municipality
Stratford

Spending Limit General \$29,996.10	Parties and Other Expressions of Appreciation \$2,999.61	Contribution Limit Contributions from Candidate and Spouse \$12,793.20
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I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

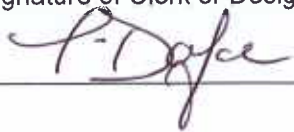
I, Robert John Ritz, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2023/03/24

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) <u>2023/03/24</u>	Time Filed <u>3:53 P.M.</u>	Initial of Candidate or Agent (if filed in person) <u>R</u>	Signature of Clerk or Designate 
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Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution

Amount borrowed

\$

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	27,219.83
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
6.	+ \$	

Total Campaign Income (Do not include loan)

= \$ 27,219.83 C1

EXPENSES (Note: Include the value of contributions of goods and services)

1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 2 of Schedule 1)	+ \$	
Advertising	+ \$	5,874.73
Brochures/flyers	+ \$	5,966.40
Signs (including sign deposit)	+ \$	7,163.69
Meetings hosted	+ \$	
Office expenses incurred until voting day	+ \$	
Phone and/or internet expenses incurred until voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	
Bank charges incurred until voting day	+ \$	25.90
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. Canada Post - Cost of Mailing	+ \$	5,457.56
2. All Candidates Meeting - Table rental	+ \$	66.81
3.	+ \$	
4.	+ \$	
5.	+ \$	
6.	+ \$	
Total Expenses subject to general spending limit	= \$	24,555.09 C2

2. Expenses subject to spending limit for parties and other expressions of appreciation

1.	+ \$	
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2.		+ \$	
3.		+ \$	
4.		+ \$	
5.		+ \$	
Total Expenses subject to spending limit for parties and other expressions of appreciation		= \$	C3

3. Expenses not subject to spending limits

Accounting and audit	+ \$	2,610.30	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	51.80	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
Total Expenses not subject to spending limits	= \$	2,662.10	C4

Total Campaign Expenses (C2 + C3 + C4) = \$ **27,217.19** **C5**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	2.64	D1
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	2.64	
Surplus (or deficit) for the campaign			= \$ D2

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 – Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$	11,729.83	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$		
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	40.00	
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	15,450.00	
Less: Ineligible contributions paid or payable to the contributor Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$		
Total Amount of Contributions (record under Income in Box C)	= \$	27,219.83	1A

Part II – Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total		

Additional information is listed on separate supplementary attachment, if completed manually.

Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
(Note: Value must be recorded as a contribution from the candidate and as an expense.)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
Total				

Additional information is listed on separate supplementary attachment, if completed manually.

Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
Harry Brightwell	213-90 Greenwood Dr. Stratford ON N5A 7W5	2022/07/21	100.00	
David Davis	2 Sir Adam Beck Rd. Stratford ON N5A 8B8	2022/09/07	500.00	
Robert Gordon	429 Britannia St, Stratford ON N5A 6A1	2022/09/07	100.00	
Mona, Jeff Thomas	312 Coburg St, Stratford ON N5A 3G5	2022/09/13	500.00	
Adam Kirkpatrick	188 Caldonia St Stratford ON N5A 5X1	2022/09/14	50.00	
Gordon Sherwin	139 Centre St Stratford ON N5A 1E5	2022/09/14	200.00	
Tyler Leasa	244 Nile St Stratford ON N5A 4E9	2022/09/26	100.00	
Donna Sobura	218 Delamere Ave Stratford ON N5A 4Z5	2022/09/16	50.00	
Garry Ruttan	757175 Oxford Rd 5 New Hamburg ON N3A 4G1	2022/09/16	500.00	
Wayne Young	151 Whitelock St Stratford ON N5A 3C7	2022/09/19	500.00	
Tom Hamza	217 Water St Stratford ON N5A 3C7	2022/09/26	200.00	
Warren Rheil	175 Avon St Stratford ON N5A 5N9	2022/09/23	100.00	
Star Leasa	244 Nile St Stratford ON N5A 4E9	2022/09/26	100.00	
Dean Trentowsky	4957-164 Road Mitchell ON N0K 1N0	2022/09/26	1,200.00	
Andrew Hilton	136 Redford Cres Stratford ON N5A 3E5	2022/09/26	50.00	
Bill Windsor	151 Albert St Stratford ON N5A 3K5	2022/09/27	500.00	
John Zimmer	86 Coburg St Stratford ON N5A 3E5	2022/09/27	1,200.00	
Elizabeth A Hilton	136 Redford Cres Stratford ON N5A 3E5	2022/09/28	200.00	
D Macdougald	368 William St Stratford ON N5A 4Y5	2022/10/03	1,200.00	
Harry Brightwell	213-90 Greenwood Dr. Stratford ON N5A 7W5	2022/10/03	100.00	
Dianne Yundt	3 Morison St Stratford ON N5A 7W5	2022/10/03	200.00	
Corey Denham	46 Sprung Court Stratford ON N5A 1G7	2022/10/14	1,200.00	
Krista Denham	46 Sprung Court Stratford ON N5A 1G7	2022/10/14	800.00	

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
Shawn Leasa	244 Nile St Stratford ON N5A 4E9	2022/10/14	100.00	
Kayla Ritz	18223 Water St Stratford ON N5A 3C7	2022/10/15	1,200.00	
Jesse MacMillan	22 Morgan St Stratford ON N5A 7V1	2022/10/19	500.00	
Martha Cook	98 Abraham Dr Stratford ON N5A 8B1	2022/10/20	200.00	
Gord Steed	158 John St Stratford ON N5A 6L1	2022/10/26	300.00	
Roger Feltz	5432 Line 36, RR 3 Mitchell ON N0K 1N0	2022/11/15	250.00	
Dan Neumeister	67 Mowat St Stratford ON N5A 2B8	2022/11/15	1,200.00	
Breen Bentley	99 Ontario St Stratford ON N5A 3H1	2022/11/15	400.00	
James Ritz	221 John St S Stratford ON N5A 7S4	2022/11/15	1,200.00	
Sean Blaine	201 Water St Stratford, ON N5A 3C6	2022/10/24	200.00	
Total			15,200.00	

Additional information is listed on separate supplementary attachment, if completed manually.

Table 4: Contributions in goods or services from individuals other than candidate or spouse
(Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Leanne Murray	255 Jones St W, PO Box 1288, St Marys ON N4X 1C8	Individual Portrait	2022/07/14	250.00
Total				250.00

Additional information is listed on separate supplementary attachment, if completed manually.

Total for Part III – Contributions exceeding \$100 per contributor
(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions) \$ 15,450.00 1B

Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person) \$ _____ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x _____ 2B

Total Part I (2A X 2B) (include in Part I of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part II (include in Part I of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report – Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA, LPA

Municipality London	Date (yyyy/mm/dd) 2023/03/21
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Contact Information

Last Name or Single Name Keuken	Given Name(s) Jordan	Licence Number 3-3190123
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Address		
Suite/Unit Number 700	Street Number 255	Street Name Queens Ave

Municipality London	Province ON	Postal Code N6A 5R8
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Telephone Number 519-286-1812	Email Address Jordan.Keuken@mnp.ca
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Independent Practitioner's Reasonable Assurance Report on Compliance

To the City Clerk of the Municipality of Stratford re: Robert Ritz Campaign:

We have undertaken a reasonable assurance engagement of Robert Ritz (the "Candidate's) Form 4: Financial Statement – Auditor's Report Candidate of the Robert Ritz Election Campaign compliance during the period ending January 3, 2023, with Section 88 of the Municipal Elections Act, 1996.

Management's Responsibility

Management is responsible for the Candidate's compliance with the specified requirements. Management is also responsible for such internal control as management determines necessary to enable the Candidate's compliance with the specified requirements.

Our Responsibility

Our responsibility is to express a reasonable assurance opinion on the Candidate's compliance based on the evidence we have obtained. We conducted our reasonable assurance engagement in accordance with Canadian Standard on Assurance Engagements 3531, Direct Engagements to Report on Compliance. This standard requires that we plan and perform this engagement to obtain reasonable assurance about whether the entity complied with the specified requirements, in all significant respects.

Reasonable assurance is a high level of assurance but is not a guarantee that an engagement conducted in accordance with this standard will always detect a significant instance of non-compliance with specified requirements when it exists. Instances of non-compliance can arise from fraud or error and are considered significant if, individually or in the aggregate, they could reasonably be expected to influence the decisions of users of our report. A reasonable assurance compliance reporting engagement involves performing procedures to obtain evidence about the entity's compliance with the specified requirements. The nature, timing and extent of procedures selected depends on our professional judgment, including an assessment of the risks of significant non-compliance, whether due to fraud or error.

We believe the evidence we obtained is sufficient and appropriate to provide a basis for our opinion.

Our Independence and Quality Management

We have complied with the independence and other ethical requirements of the Code of Professional Conduct, which are founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies Canadian Standard on Quality Management 1, *Quality Management for Firms that Perform Audits or Reviews of Financial Statements, or Other Assurance or Related Services Engagements*, which requires the firm to design, implement and operate a system of quality management, including policies or procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion section of our report, the Candidate's Form 4: Financial statement – Auditor's Report Candidate of the Robert Ritz Election Campaign was in compliance during the period ending January 3, 2023, with Section 88 of the Municipal Elections Act, 1996, in all significant respects.

We do not provide a legal opinion on the Candidate's compliance with the specified requirements.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in any election campaign, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amounts recorded in the Candidate's election campaign records and we were not able to determine whether any adjustments might be necessary to assets, liabilities, income, expenses or campaign period surplus/deficit.

London, Ontario
March 21, 2023

**Chartered Professional Accountants
Licensed Public Accountants**